

Docket No.: 3350-102  
Client No.: GLTM



PATENT

*DAC \$*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Group Art Unit: 2161  
Biondi et al. : Examiner: Unassigned  
Serial No.: 09/893,596 : Confirmation No.: 6504  
Filed: June 29, 2001 :

For: SYSTEM AND METHOD FOR MULTIPLE ACCOUNT SINGLE SECURITY TRADING

**RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF APPLICATION**

Honorable Assistant  
Commissioner  
for Patents  
Washington, DC 20231

**RECEIVED**  
**FEB 20 2002**  
**OFFICE OF PETITIONS**

Sir:

In response to the Notice to File Missing Parts of Application dated August 17, 2001, submitted herewith are the following documents for filing in the above-referenced application:

1. Copy of Notice to File Missing Parts of Application
2. Declaration, Power of Attorney (6 originals) executed by 6 of 7 joint inventors.
3. Statutory Basic filing fee of \$740.00
4. Late Filing Fee Surcharge of \$130.00

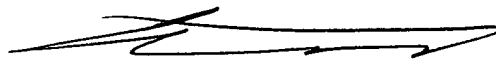
5. Additional Claims fee of \$342.00
- ~~6. Assignment and fee of \$40.00 separate check attached~~
7. Petition under 37 CFR 47(a), with supporting Declarations of Sharon Hand (including Exhibits A and B) and Robert Calichman, and fee of \$130.00
8. Petition for Extension of Time (4 months) and fee of \$1,440.00

A check in the amount of \$2,782.00 to cover the fees as listed above is enclosed.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 12-0429 and please credit any excess fees to such deposit account.

It is requested that a Corrected Official Filing Receipt now be issued.

Respectfully submitted,  
Lalos & Keegan

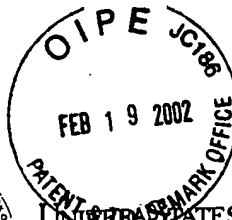


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Date: February 19, 2002

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,596	06/29/2001	Matthew Biondi	3350-102

CONFIRMATION NO. 6504

## FORMALITIES LETTER



\*OC000000006440466\*

LALOS & KEEGAN  
Fifth floor  
1146 Nineteenth Street, N.W.  
Washington, DC 20036

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION **RECEIVED**

FILED UNDER 37 CFR 1.53(b)

FEB 20 2002

Filing Date Granted

OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$342.
  - \$342 for 19 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1182.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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740.00  
342.00  
130.00

01 FC:101  
02 FC:103  
03 FC:105